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Applicant(s): Anthony J. Sutera et al.

Docket No.

50930/10

Serial No.

10/756,440

Filing Date

January 13, 2004

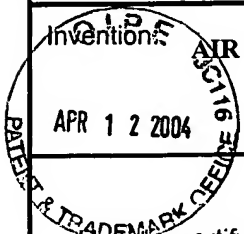
Examiner

Group Art Unit

Invention:

AIR TURBINE FOR COMBUSTION ENGINE

APR 12 2004



I hereby certify that the following correspondence:

Information Disclosure Statement; PTO-1449 with copies of foreign references only; postcard.

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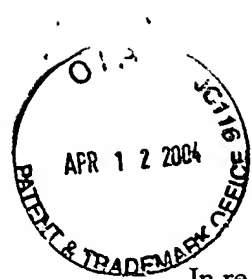
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Anthony J. Sutera et al.

Confirmation No.

Application No. 10/756,440

Filed: January 13, 2004

For: **AIR TURBINE FOR COMBUSTION
ENGINE**

Group Art Unit:

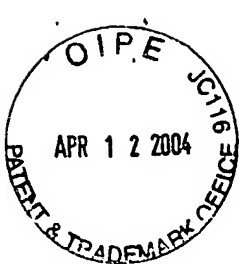
Examiner:

Date: April 12, 2004

INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.
 - ☒ Copies of listed documents are enclosed. (37 CFR § 1.98(a))
 - ☒ Copies of listed U.S. patent documents are omitted because this application was filed after June 30, 2003 and is, thus, subject to image file wrapper processing. Copies of listed foreign patent documents and non-patent literature are enclosed.
 - ☐ Copies of the documents listed on sheet(s) _____ of Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. _____, filed _____, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. _____, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
2. ☐ The Examiner's attention is directed to the enclosed copy of copending U.S. Patent Application No. _____, filed _____, for _____, which is cited in this application.
3. This information disclosure statement is being submitted (check box a., b., or c.):
 - a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or




- b. ☐ After the period set forth in paragraph 3a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
- i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).

4. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:

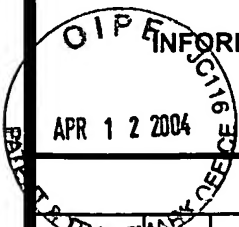
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

5. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

By 
John R. Thompson
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Attorney Docket No. 50939/10

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

ATTY DOCKET NO.

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GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	1,049,433 A	01/07/13	Winslow	181	274	05/15/09
	A2	2,051,675	08/18/36	Blanchard	181	274	11/28/33
	A3	2,239,549	04/22/41	Chiple	181	269	03/04/40
	A4	2,740,616	04/03/56	Walden	181	264	11/03/52
	A5	3,757,892	09/11/73	Raudman, Jr.	181	36	04/03/72
	A6	4,109,753	08/29/78	Lyman	181	252	11/19/76
	A7	4,222,456	09/16/80	Kasper	181	296	04/25/77
	A8	4,234,054	11/18/80	Chapin	181	252	04/18/78
	A9	4,317,502	03/02/82	Harris et al.	181	280	11/17/80
	A10	5,152,366	10/06/92	Reitz	181	249	03/28/91
	A11	5,214,254	05/25/93	Sheehan	181	241	03/20/92

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	2106619 A	04/13/83	GB	F41B	11/00		
	B2	59077020 A	5/1984	JP	F 01 N	1/06		✓
	B3	61234217 A	10/1986	JP	F 01 N	1/08		✓

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	A12	5,496,975	03/05/96	Wagner	181	269	06/06/94
	A13	5,663,537	09/02/97	Ko et al.	181	228	05/16/95
	A14	5,902,970	05/11/99	Ferri	181	249	07/16/96 7
	A15	5,962,822	10/05/99	May	181	264	06/23/98
	A16	6,213,251	04/10/01	Kesselring	181	249	10/05/98
	A17	6,343,673	02/05/02	Chang	181	227	09/07/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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